

# Database Management system (DBMS)

## DBMS (Basics)

→ Data: Raw and isolated fact about an entity (recorded)

eg: text, image, video, document, map etc.

→ Information: Processed, meaningful and useful data.

→ data  $\xrightarrow[\text{inc. \uparrow}]{\text{processing, usability}}$  information

→ Database: collection of similar / related data.

→ DBMS: S/W used to create, manipulate and delete database.

## Disadvantages of file system

① Data Redundancy: single data being found at multiple places (data scattering).

② Data inconsistency: one data at multiple places being changed at one place may / may not change other value resulting in inconsistency.

③ Difficulty in accessing data: problem being solved by DBMS queries.

④ Data isolation: isolated data can't be handled by OS as they are dependant.

⑤ Security problems

⑥ Atomicity problem: data comes in inconsistent state when OS gets closed due to some reason.

⑦ Concurrent access anomalies: only one user can access the data at once but that can't be a problem in DBMS.

⑧ Integrity problem: automatic triggers that can't be allowed can be sustain in DBMS.

## Types of ~~DBMS~~ Database management system.

OLAP	OLTP
→ online analytical processing	→ online transaction processing
→ holds historical data	→ hold day-to-day operational data
→ used in decision making, getting prediction	→ used for day to day operations.
→ subject oriented	→ application oriented
→ large size (TB, PB)	→ small size (MB, GB)
→ CEO, MD, GM deals with it	→ clerks & manager deal with it
→ only read operation	→ read & write data

### Why OLAP and OLTP separated?

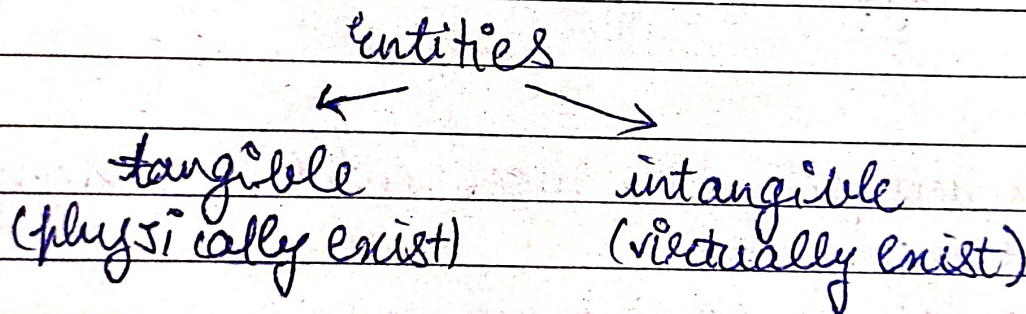
- 95% data was historical data that was not much in use
- 5% data is day to day use needed.
- if all data is stored together, then speed for day to day needed data gets decreased
- thus we divide our whole data into OLAP and OLTP.

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# ER diagram (Entity Relationship diagram)

- A non technical design method works on conceptual level based on perception of real world.
- consist of collection of basic objects called entities and relationship among these objects & attributes define property
- free of ambiguities
- easy to understand (as diagram based)

Entity: real world objects distinguishable from each other on the basis of values of attributes.



Entity set: collection of same sets entities.

→ schema: structure consisting of entity set

→ entity set is represented by rectangle

→ ~~attributes~~

→ attributes in ER diagram

→ units that describes properties in an entity

→ for each attribute, there is a permitted domain

→ represented by oval

## Types of attribute

simple-composite	single-multivalued	stored/derived
<ul style="list-style-type: none"> <li>→ simple can't be divided further and is represented by oval.</li> <li>→ composite can be further divided into simple attributes, e.g. by oval connected to oval.</li> </ul>	<ul style="list-style-type: none"> <li>→ only one instance at a time: single valued.</li> <li>→ more than one instance at a time: multivalued</li> <li>→ single oval: single valued</li> <li>→ multivalued: double valued oval</li> </ul>	<ul style="list-style-type: none"> <li>→ attributes that values are known and stored in database</li> <li>→ derived attributes are derived from stored attributes</li> <li>→ derived attributes are drawn using dotted oval</li> </ul>
	<div style="display: flex; justify-content: space-around;"> <div style="border: 1px solid black; border-radius: 50%; padding: 5px;">single</div> <div style="border: 1px solid black; border-radius: 50%; padding: 5px;">multi</div> </div>	

## Relationship

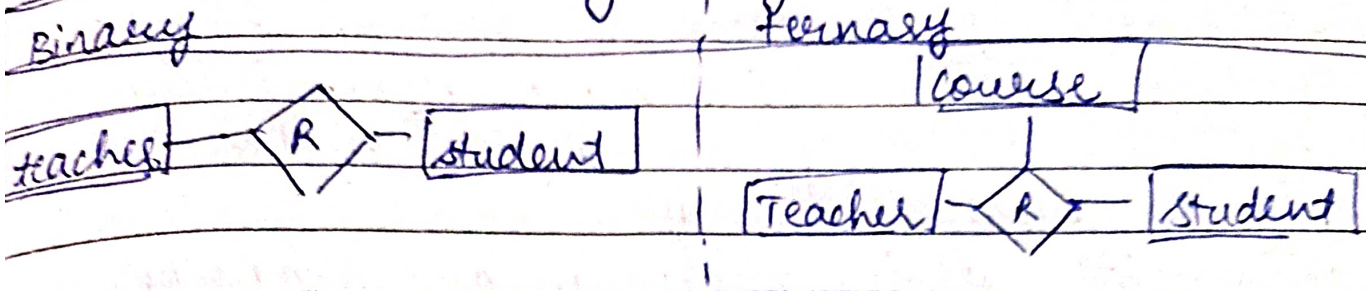
- association between 2 or more entities of same or different entity set.
- not represented in ER diagram.
- represented using a row in a table

## Relationship set/type

- set of similar type of relationship
- represented using diamond in ER diagram.
- every relationship type has 3 components
  - \* Name
  - \* Degree
  - \* Cardinality ratio / Participation constraints

Degree of relationship

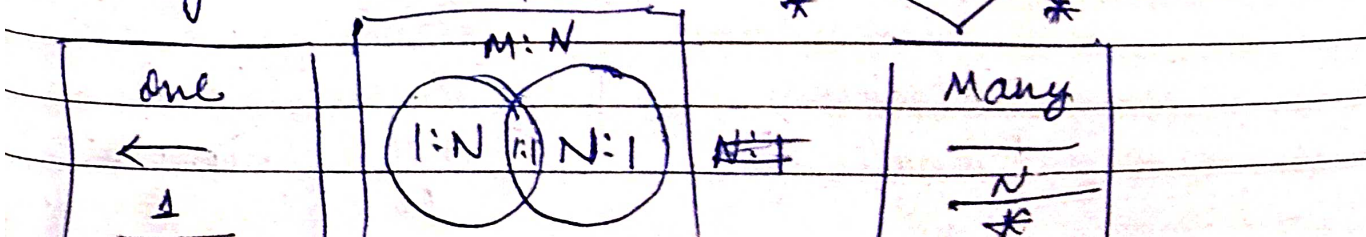
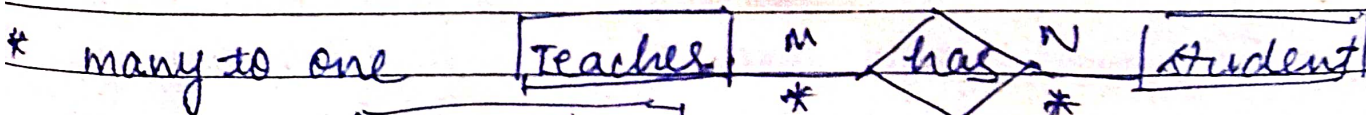
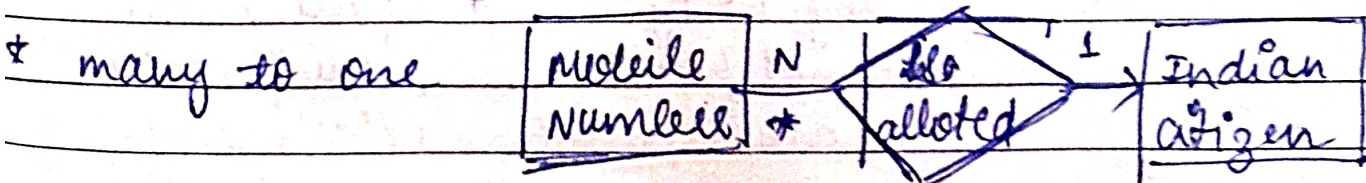
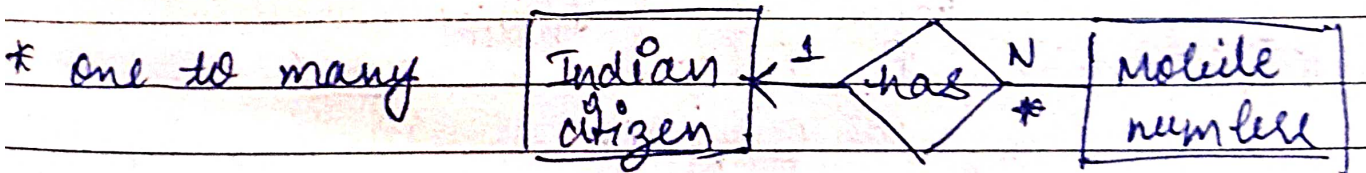
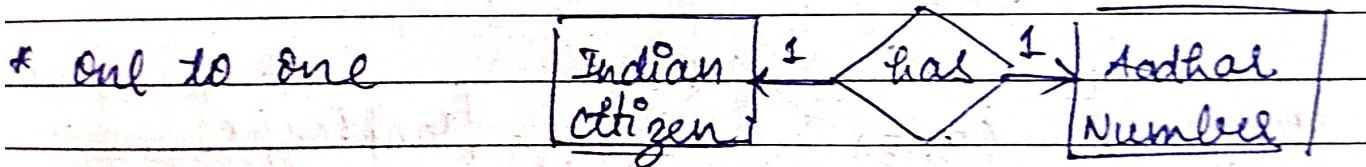
→ no. of participating entity set.



→ most of relationships are binary relationships  
 → however, there may arise some cases when we have more than 2 relationships.

Cardinality Ratio / Mapping cardinality

→ number of entities to which other entity can be related via a relationship.  
 → it is more useful in binary relationships

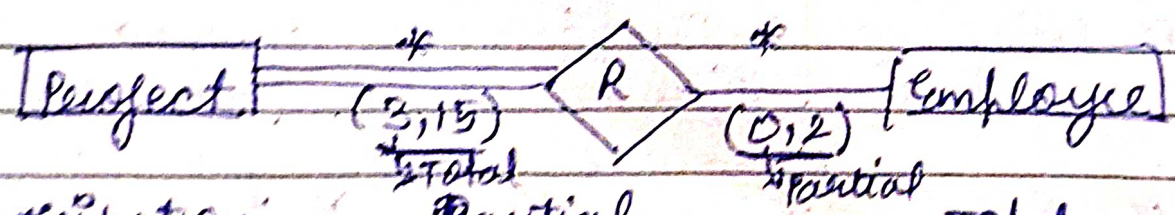


Participation Constraints.

- > does any entity need to participate in any relationship to be able to exist in system?
- > specifies whether the existence of an entity depends on its being related to another entity via relationship type.
- > constraints specify minimum and maximum number of relationship instances that each entity can participate in.

\* Max cardinality: defines maximum number of times an entity occurrence participate in relationship.

\* Min cardinality: defines minimum number of times an entity occurrence participate in relationship.



Participation:

Partial Participation

- \* if any entity does not participate in relationship
- \* that side of relationship.

\* partial

\* single line.

Total Participation

- \* if all entity participate in relationship
- \* that side of relationship is total.

\* double line

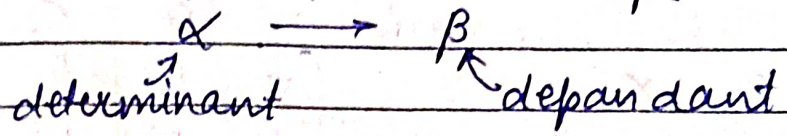
(not recommended to use)

# Functional Dependencies

→ if there is a functional dependancy from  $\alpha$  to  $\beta$  in a relational table, then we can search value of  $\beta$  from relational table using  $\alpha$ .

→ denote:  $f(\alpha) \rightarrow \beta$  and  $\alpha \in R$  &  $\beta \in R$

→ if  $t_1[\alpha] = t_2[\alpha]$  then  $t_1[\beta] = t_2[\beta]$



→ FD can be divided in 2 types: ① trivial ② non trivial

① trivial functional dependancies.

→ if  $\alpha \rightarrow \beta$  and  $\beta \subseteq \alpha$ , then dependancy is trivial.

→  $AB \rightarrow A$

② non trivial functional dependancies

→ if  $\alpha \rightarrow \beta$  and  $\beta \not\subseteq \alpha$ , then dependancy is non trivial

→  $AB \rightarrow ABC$  → new attribute.

Problem, which options are correct:

✓  A  $A \rightarrow BC$

✗  C  $C \rightarrow DE$

✓  B  $DE \rightarrow C$

✓  D  $BC \rightarrow A$

R				
A	B	C	D	E
1	2	3	4	5
2	2	3	4	5
1	2	3	5	5
1	2	3	6	6

A is correct.

D is correct.

B is also correct.

Shortcut to find whether dependancy is true

① check value of  $\alpha$ .

② if all values of  $\beta$  are unique, dependancy is true

③ check value of  $\beta$

④ if all values of  $\beta$  are same, dependancy is true

Question: which options are valid

- (A)  $XY \rightarrow Z$  &  $Z \rightarrow Y$  X
- (B)  $YZ \rightarrow X$  &  $Y \rightarrow Z$  ✓
- (C)  $YZ \rightarrow X$  &  $X \rightarrow Z$  X
- (D)  $XZ \rightarrow Y$  &  $Y \rightarrow Z$  X

	X	Y	Z
	1	4	2
	1	5	3
	1	6	3
	3	2	2

Question: which options are valid

- (A)  $A \rightarrow B$  &  $BC \rightarrow A$  X
- (B)  $C \rightarrow B$  &  $CA \rightarrow B$  X
- (C)  $B \rightarrow C$  &  $AB \rightarrow C$  ✓
- (D)  $A \rightarrow C$  &  $BC \rightarrow A$  X

	A	B	C
	1	2	4
	3	5	4
	3	7	2
	1	4	2

attribute closure / closure of attribute set / closure of attribute set

if  $A \rightarrow B$  and  $B \rightarrow C$  then  $A \rightarrow BC$   
 then A is called closure set  $(A)^+$   
 $(A)^+$  here = ABC

Question R(ABCDEF)

- $A \rightarrow B$
  - $C \rightarrow DE$
  - $AC \rightarrow F$
  - $D \rightarrow AF$
  - $E \rightarrow CF$
- $(D)^+ = DAFB = ABDF$   
 $(DE)^+ = DAFBCE = ABCDEF$

Armstrong Axioms / Rules

Primary Rule (RAT)

- ① Reflexivity:  $Y \subseteq X \Rightarrow X \rightarrow Y$
- ② Augmentation:  $X \rightarrow Y \Rightarrow XZ \rightarrow YZ$
- ③ Transitivity:  $X \rightarrow Y$  &  $Y \rightarrow Z \Rightarrow X \rightarrow Z$

Secondary Rule.

- ① Union:  $X \rightarrow Y$  &  $X \rightarrow Z \Rightarrow X \rightarrow YZ$
- ② Decomposition:  $X \rightarrow YZ \Rightarrow X \rightarrow Y$  &  $X \rightarrow Z$
- ③ Pseudotransitivity:  $X \rightarrow Y$  &  $Y \rightarrow Z$  then  $wX \rightarrow z$



Equivalence of functional dependancies

F) R(ACDEH)

G)  $A \rightarrow CD$   
 $E \rightarrow AH$

which one is true

$A \rightarrow C$   
 $AC \rightarrow D$   
 $E \rightarrow AD$   
 $E \rightarrow H$

$(A)^+ \rightarrow ACD$   
 $(AC)^+ \rightarrow ACD$   
 $(E)^+ \rightarrow EADHC \rightarrow FCG$

- (a)  $F \subseteq G$
- (b)  $F \supseteq G$
- (c)  $F = G$
- (d)  $F \neq G$

$(A)^+ = ACD$   
 $(E)^+ = EADHC$   $\rightarrow G \subseteq F$

Q. R(PQRS)

X:  $P \rightarrow Q$  ✓  
 $Q \rightarrow R$  ✗  
 $R \rightarrow S$  ✓

Y:  $P \rightarrow QR$  ✓  
 $R \rightarrow S$  ✓

which one is true

$(P)^+ = PQRS$   
 $(Q)^+ = Q$   
 $(R)^+ = RS$

$(P)^+ = PQRS$   
 $(R)^+ = RS$   
thus  $Y \subseteq X$

- ~~(a)  $X \subseteq Y$~~
- ~~(b)  $Y \subseteq X$~~
- ~~(c)  $X = Y$~~
- (d)  $X \neq Y$

thus  $X \subseteq Y$

Q. R(ABCE)

Q. R(VWXYZ)

F:  $A \rightarrow B$  ✓  
 $B \rightarrow C$  ✓  
 $C \rightarrow A$  ✓

G:  $A \rightarrow BC$  ✓  
 $B \rightarrow A$  ✓  
 $C \rightarrow A$  ✓

F:  $W \rightarrow X$  ✓  
 $WX \rightarrow Y$  ✓  
 $Z \rightarrow WY$  ✓  
 $Z \rightarrow V$  ✗

G:  $W \rightarrow XY$  ✓  
 $Z \rightarrow WX$  ✓

$(A)^+ = ABC$   
 $(B)^+ = BCA$   
 $(C)^+ = CAB$

$(A)^+ = ABC$   
 $(B)^+ = BCA$   
 $(C)^+ = CAB$

$(W)^+ = WXY$   
 $(WX)^+ = WXY$   
 $(Z)^+ = VWXY$

$(W)^+ = WXY$   
 $(Z)^+ = ZWXY$

$F \subseteq G$   
 $G \subseteq F$   
 $F = G$

$F \neq G$

$G \subseteq F$

# Irreducible set of functional dependancies (canonical form)

→ if any functional dependancies contains some redundant elements, then we must remove it

R(WXYZ)

$X \rightarrow W$	$X \rightarrow W$	$(X)^+ = XW$
$WZ \rightarrow XY$	<del><math>WZ \rightarrow X</math></del>	$(X)^+ = X$ (ignoring first rel)
$Y \rightarrow WXZ$	$WZ \rightarrow Y$	this shows that the dependancies is essential
	<del><math>X \rightarrow W</math></del>	
	$Y \rightarrow X$	$(WZ)^+ = WZXY$ this means
	$Y \rightarrow Z$	$(WZ)^+ = WZYX$ $WZ \rightarrow X$ is redundant

decomposed in diff relations

## Rule

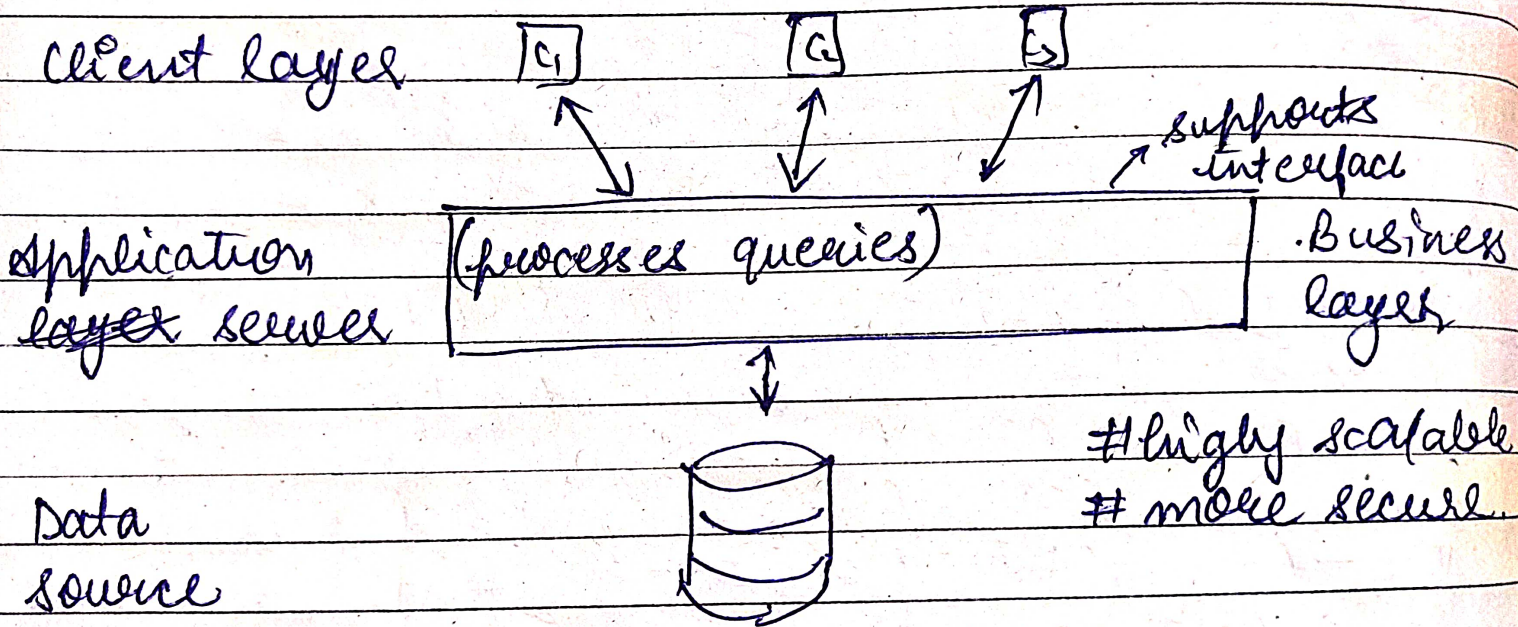
- Apply decomposition rule to each and every depend
- Find and compare closure of any any dependan with and without dependancies
- If it is same, then the dependancies is non essential and viceversa

Q. R(ABCD) → find minimal canonical form

$A \rightarrow B$	$A \rightarrow B$ ✓	$A^+ = AB$	$A^+ = A$
$C \rightarrow B$	$C \rightarrow B$ ✓	$C^+ = CB$	$C^+ = C$
$D \rightarrow ABC$	$D \rightarrow A$ ✓	$D^+ = DABC$	$D^+ = DBC$
$AC \rightarrow D$	<del><math>D \rightarrow B</math></del>	$D^+ = DBAC$	$D^+ = DACB$
	① $D \rightarrow C$ ✓	$D^+ = DCAB$	$D^+ = DAB$
	$AC \rightarrow D$ ✓	$AC^+ = ACDB$	$AC^+ = ACB$

$A \rightarrow B$	$(AC)^+ = ACDB$	Minimal cover
$C \rightarrow B$	$(A)^+ = AB$	$\rightarrow A \rightarrow B$
$D \rightarrow A$	$(C)^+ = B$	$C \rightarrow B$
$D \rightarrow C$		$D \rightarrow AC$
$AC \rightarrow D$		$AC \rightarrow D$

### 3 tier architecture (3 level architecture)



### 3 schema architecture

→ Schema: structure (what is structure to store data)

1. Primary key.  
→ used to identify one and only instance of entity uniquely  
→ most suited key out of a set of keys  
→ selection is based on requirements & developers

2. Candidate key.  
→ attribute / set of attributes uniquely identify tuple  
→ remaining attribute except primary key are considered as candidate key.

3. Super key.  
→ set of attributes that can uniquely identify tuple  
→ candidate key is superkey but superkey can't be candidate.

4. Alternate key.  
→ candidate key other than primary key is called alternate key

5. Foreign key.  
→ If an attribute can only take values present as values of some other attributes, it'll be foreign key to attributes to which it refers.

### Normalization

→ remove redundancy / reduce redundancy's duplicate for a relation / set of relations  
→ redundancy causes insertion, deletion and updation anomalies.

## 1) First Normal Form (1NF)

→ table should not contain any multivalued attributes.

→ a table is in 1NF if every attribute in relation is single valued attribute.

## Closure Method

→ used to find all primary candidate keys in a table.

$R(A B C D)$

$\{A \rightarrow B, B \rightarrow C, C \rightarrow D\}$  CK =  $\{A\}$   ~~$\{A, B\}$~~

$A^+ = ABCD$     $B^+ = BCD$     $C^+ = CD$     $D^+ = D$

$AB = ABCD$  → (But AB must be minimal so AB cannot be primary candidate key)

## 2) Second Normal Form (2NF)

→ table must be 1NF.

→ table must not contain partial dependencies.

Partial dependencies: non prime attributes is dependent on proper subset of candidate key.

$(AB) \rightarrow CK$

but  $A \rightarrow C$  → thus there is partial dependency

$R(ABCDEF)$

FD  $\{C \rightarrow F, E \rightarrow A, EC \rightarrow D, A \rightarrow B\}$

CK:  ~~$FAD$~~     $EC = FADB$

$EC^+ = ABCDEF = \text{PK}$

## 3) Third Normal Form (3NF)

→ a table is in 3NF, if there are no transitive dependencies for non prime attributes.

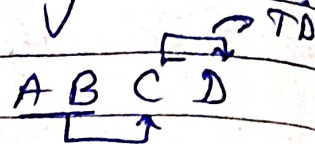
→ and table should be in 2NF.

transitive dependency.

→ if any non prime attribute is being determined by another non prime attribute

\* non prime attribute is not participating in CK

$R(ABCD)$  FD:  $AB \rightarrow C$   $C \rightarrow D$   
CK = AB PA = A, B NPA = C, D



This table is not in 3NF

check for 3NF →

① LHS must be CK or SK. ② RHS must be prime attribute.

#### 4. Boyce codd normal form (BCNF)

→ special case of 3<sup>rd</sup> NF.

→ table must be in 3NF.

→ every functional dependencies must have candidate key on LHS.

→ candidate can only determine all dependencies.

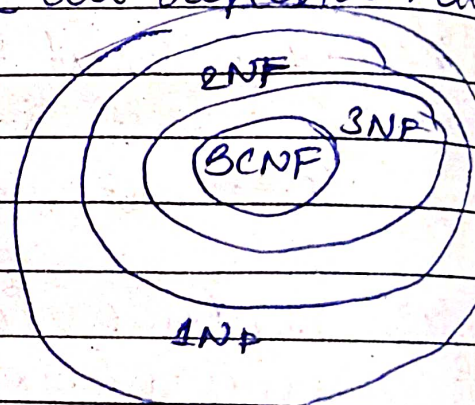
#### SQL.

→ most popular & widely used query language.

→ domain specific & not general purpose.

→ can define database, modify, insert and delete elements/table/database.

→ based on relational algebra



#### Parts of SQL

① DDL (data definition language)

→ define a table in database

→ can create, define, delete, modify schema of table

②

② Data Manipulation Language (DML)

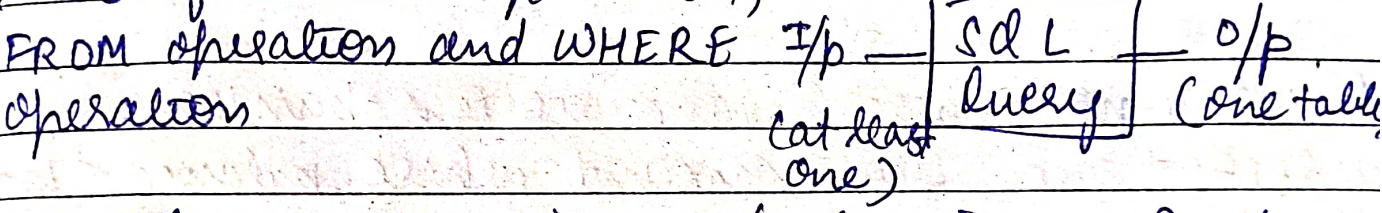
→ provide ability to query info from DB,

- insert, delete and modify tuple of database
- works off instance

③ TCL (Transaction control language):  
 includes commands for beginning and ending of transaction  
 ① rollback ② commit ③ checkpoint.

~~DDL~~ DQL (Data Query Language)

- for retrieving a data from DB
- use of SELECT operation,



SELECT (column name) from (Relation name) where (condition)

- SQL is case insensitive
- SQL supports duplication in both I/p and O/p

SELECT clause

- pick column reqd. in result of query out of all columns in relation
- all columns (\*)
- supports simple arithmetic operation (no change in database)

select all details from branches

```
?? SELECT * from BRANCHES;
```

~~?? SELECT~~

find the name of all customer who have account

```
?? select name from depositor;
```

^ distinct

find each l.no along with amount  
-> select l.no, amount from loan;

find all account no. and balance with 6% year  
-> select account, balance \* 1.06 from account;

where clause.

- > specify condition/predicate.
- > can allow comparison operation (<, <=, >, >=, =)
- > logical operators OR and AND and NOT
- > between clause

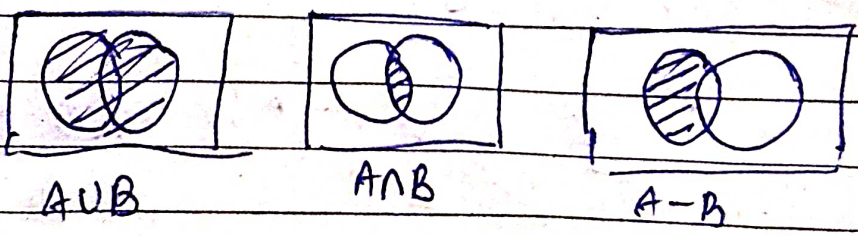
Q. all a.no where balance is less than 1000  
-> SELECT a.no. from account where balance < 1000

Q. find b.name which is situated in delhi and having assets < 100,000  
-> select b.name from branch where B.city = 'Delhi' and ~~total~~ assets < 100,000;

Q. Find branch and A.no where balance is >= 100 and <= 1000  
-> select bname, A.no from account where balance between 100 and 1000

SET operator.

- > Union, Intersect, except, minus, set diff





→ union intersection & minus automatically removes duplicate values.

→ if we want to retain duplicates even after operation we write <sup>all</sup> after operation

① Find all the customer name having an account or loan or both

→ select cname from depositor union select cname from borrower

\* if we want ~~not~~ to eliminate duplicates, we use union all.

② Find all the customer having both account and loan

→ select cname from depositor intersect select cname from borrower

③ Find all the customer having an account but not loan

→ select cname from depositor minus select cname from borrower

→ set operations are only applicable to 2 tables if they are compatible.

→ they must have same no. of columns and same domain.

Cartesian Product ('x')

→ query on multiple relation

→ combine each and every tuple from first relation with every possible tuple of second relation

① Find name of customers having branch in North delhi

Select cname from Account, Depositor where bname = 'ND' and account.no = Depositor no

② Find loan no and amount of loans which are from branch situated in delhi

→ select lno, amount from loan, branch where  
B.city = 'Delhi' and loan.lname = branch.lname

③ find customer name which have loan from branch with assets < 1,00,000

→ select cname  
from branch, loan, Borrower  
where assets < 100000  
and Branch.Bname = loan.Bname  
and loan.lno = Borrower.lno.

Natural join.

→ works same as cartesian product but considers only those pair of tuples with same value on attributes that appear in schema

above queries with natural join

① select cname  
from account natural join depositor  
where branch.name = 'N-D'

② select loan\_no, amount  
from loan natural join branch  
where l.city = "Delhi"

③ select cname  
from Branch natural join loan natural join Borrower  
where assets < 100000

- no prefix required
- commutative in nature
- lead to data loss if attribute to be join of has different name or attribute present only 1 table

### Inner join

- works same as cartesian product if not use "using" keyword
- allow us to choose attribute in order to eliminate redundant tuples

Q find the name of customer having loan amount  $< 1000$

```
select c.name
from borrower join loan using (lno)
where amount < 1000
```

on keyword (more powerful than using)

- allows us to use a general predicate over being joined relations

Q. write a SQL query to find all customer name having loan amount  $< 1000$ .

```
>> select cname
from loan inner join borrower where on
on loan.lno = borrower.lno and
where loan.amount < 1000.
```

- no use of where
- can express any predicate
- improves readability of query.

## Outer join

→ manage data loss from inner/natural join

Date: \_\_\_\_\_ Page: \_\_\_\_\_

left outer join (LJ), right outer join (RJ)  
full outer join (FJ)

## left outer join

→ all the tuples from left table and matching tuples from right, in case there are no matching values, tuple is filled with null values

## right outer join

→ all the tuples from right table and matching tuples from left, in case there are no matching values, tuples are filled with null values

## full outer join

→ union of left and right outer join.

## Rename operator

→ provide column or table with temporary name

→ only exist for a duration of query

→ used for comparing table with itself

→ used to provide name to column that got created as a result of operations on one or more columns

① Find account number and balance with 8% interest if bal < 1000

```
select a.no, (balance) from * (1.08) as bali
from account
where balance < 1000.
```

1. Find customer name and loan amount.

→ select C.name as customername, amount as loan-amount  
from loan, borrower where loan.lno = borrower.lno

2. Find account no, lname and city

→ select A.no, ~~lname~~ lname, City  
from Account, Branch as A  
where A.lname = B.lname

3. Find maximum loan amount from bank.

→ (select A.amount  
from loan as A, loan as B  
where A.amount < B.amount.) ①

→ select amount from loan minus ①

→ SQL aliases are used to give temporary name to a table or column

→ exist for duration of query

→ used for comparing table with itself.

→ does not change anything in table.

String Operation

→ enclose string in single quotes

→ equality operation may/may not be case sensitive

→ operation: concatenation, selecting, change case, find ~~operation~~ length

→ pattern matching can be performed with strings using like operation.

→ like is case sensitive

→ % : match any substring

\_ : match with any character.

→ specify double comma if comma in string  
'doesn't' → "doesn't"

Date: \_\_\_\_\_ Page: \_\_\_\_\_

→ if want to use special character in string, use backslash, (same with \_).  
"ab%c" → "ab\%c".

Ordering the display of tuples (Order By) clause  
→ sort the results of query based on columns

→ ASC and DESC

① Find the name of all branches which are situated in "Gilgit" in alphabetical order.

→ select B-name from Branch where b.city = 'Gilgit'  
order by B-name.

→ ordering can be done by multiple attributes.

Query language.

→ languages in which user request some info from database

→ types: procedural & non procedural

① procedural: user instruct system to perform a sequence of operations in order to produce desired result.

→ define what to find and how to retrieve data

② Non procedural: user describe desired info without giving any instruction of how to perform it.

→ Relational model is conceptual framework and RDBMS is its implementation

RA, RC.		RDBMS
Algo		SQL
conceptual		code
Theoretical		Reality
		Practical

## Relational Algebra.

- theoretical framework.
- associated with relational model.
- defines no. of operations and use relation as operand
- every operator takes 1/2 table as i/p, it yields out results as table, without name.
- it is based on set theory thus no duplicates possible
- It is procedural query language.
- no use of english keywords.

Basic	derived
select ( $\sigma$ )	Natural join ( $\bowtie$ )
project ( $\pi$ )	$\bowtie$ , $\bowtie$ , <del><math>\bowtie</math></del>
union ( $\cup$ )	Intersection ( $\cap$ )
set difference ( $-$ )	division ( $\div$ )
cartesian product ( $\times$ )	
Rename ( $\rho$ )	

### select operator ( $\sigma$ )

- unary operator so can take only one table.
- fundamental operator.
- find tuples/rows from table which satisfy a particular condition
- $\sigma$  condition (table-name)